

# REPORT DOCUMENTATION PAGE

Form Approved  
OMB NO. 0704-0188

Public Reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comment regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188,) Washington, DC 20503.

1. AGENCY USE ONLY (Leave Blank)	2. REPORT DATE 14 May 2001	3. REPORT TYPE AND DATES COVERED Final Progress Report 05/15/1997 – 05/14/2000
4. TITLE AND SUBTITLE Terahertz Gain and Loss in Semiconductor Quantum Structures		5. FUNDING NUMBERS DAAG-97-1-0258 <del>35716-EL</del>
6. AUTHOR(S) S. James Allen		8. PERFORMING ORGANIZATION REPORT NUMBER
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of California at Santa Barbara, Santa Barbara, CA 93106		
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U. S. Army Research Office P.O. Box 12211 Research Triangle Park, NC 27709-2211		10. SPONSORING / MONITORING AGENCY REPORT NUMBER  35716.2-EL

11. SUPPLEMENTARY NOTES  
The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision, unless so designated by other documentation.

12 a. DISTRIBUTION / AVAILABILITY STATEMENT  Approved for public release; distribution unlimited.	12 b. DISTRIBUTION CODE
---	-------------------------

13. ABSTRACT (Maximum 200 words)

The terahertz part of the electromagnetic spectrum is technology poor. An examination of the underlying device physics associated with the technologies that border this part of the spectrum, suggest that it marks a transition regime between transport electronics at the low frequency end (microwave frequencies) to quantum transition devices like lasers on the high frequency end (infrared). Quantum transport devices, as the name implies, embraces both transport physics and quantum transitions.

The objectives of this research were to explore terahertz loss and gain in order to establish the principles for developing a solid-state terahertz oscillator based on multi-quantum well superlattices.

Key results were the following

- Resonant photon assisted transport in semiconductor superlattices
- Harmonic generation from electrically biased superlattices
- Measurements of terahertz loss and gain in electrically biased superlattices.

14. SUBJECT TERMS Terahertz Bloch oscillation, Multi-quantum well superlattices.		15. NUMBER OF PAGES 3
		16. PRICE CODE
17. SECURITY CLASSIFICATION OR REPORT UNCLASSIFIED	18. SECURITY CLASSIFICATION ON THIS PAGE UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED
20. LIMITATION OF ABSTRACT UL		

NSN 7540-01-280-5500

Standard Form 298 (Rev.2-89)  
Prescribed by ANSI Std. Z39-18  
298-102

20010607 074

MEMORANDUM OF TRANSMITTAL

U.S. Army Research Office  
ATTN: AMSRL-RO-BI (TR)  
P.O. Box 12211  
Research Triangle Park, NC 27709-2211

☐ Reprint (Orig + 2 copies)

☐ Technical Report (Orig + 2 copies)

☐ Manuscript (1 copy)

☒ Final Progress Report (Orig + 2 copies)

☐ Related Materials, Abstracts, Theses (1 copy)

CONTRACT/GRANT NUMBER: DAAG-97-1-0258, 35716-EL

REPORT TITLE: Terahertz Gain and Loss in Semiconductor Quantum Structures

is forwarded for your information.

Sincerely,

S. James Allen



## Terahertz Gain and Loss in Semiconductor Quantum Structures

PI: S. J. Allen, University of California at Santa Barbara  
allen@qi.ucsb.edu

### Statement of the Problem

The terahertz part of the electromagnetic spectrum is technology poor. An examination of the underlying device physics associated with the technologies that border this part of the spectrum, suggest that it marks a transition regime between transport electronics at the low frequency end (microwave frequencies) to quantum transition devices like lasers on the high frequency end (infrared). Quantum transport devices, as the name implies, embraces both transport physics and quantum transitions. Indeed early experiments by us, at terahertz frequencies, revealed a variety of phenomena normally only found in superconducting electronics and described as photon assisted transport.

*The objectives of this research were to explore terahertz loss and gain in order to establish the principles for developing a solid-state terahertz oscillator based on multi-quantum well superlattices.*

### Summary of the most important results.

During the performance period the following issues were experimentally addressed.

*Resonant photon assisted transport* was explored and documented. By integrating a Schottky detector along side a sequential resonant tunneling superlattice, the photon assisted currents could be normalized to Schottky detector response. By sweeping the terahertz radiation from the Free-electron Lasers, various photon assisted processes are shown to resonate when the radiation frequency also coincided with the intersubband transitions in a given quantum well.

*Terahertz harmonic generation* was measured from electrically biased semiconductor superlattices. In experiments carried out in collaboration with researchers from the University of Regensburg, single mesas were excited in a corner cube. More important for the future exploitation of these systems, techniques were developed for fabricating quasi-optical arrays of micron size superlattice diodes. Third harmonic generation was measured in unbiased arrays, while second harmonics were produced when the array was electrically biased. Non-linear quantum transport models were successfully used to describe the response.

*Terahertz loss and gain* was measured by integrating the quasi-optical arrays in terahertz cavities. Measurements were carried out over a broad frequency range – 300 GHz to 2.5 THz. Changes in the cavity loss were measured and modeled using theories of the terahertz conductance in electrically biased superlattices. Agreement with the measured results could only be obtained if the formation of electric field domains was properly modeled. These results point to a potentially important approach to the development of a terahertz solid-state oscillator. They also highlight a critical issue, the requirements of a uniform internal electric field and the suppression of electric field domains.

Papers published in peer reviewed journals.

“Frequency doubling and tripling of terahertz radiation in a GaAs/AlAs superlattice due to frequency modulation of Bloch oscillations”, Winnerl, S., Schomburg, E., Brandl, S., Kus, O., Renk, K.F., Wanke, M.C., Allen, S.J., Ignatov, A.A., Ustinov, V., Zhukov, A., Kop'ev, P.S., Appl. Phys. Lett., 77, 1259 (2000).

“Third harmonic generation by Bloch-oscillating electrons in a quasioptical array”, Ghosh, A.W., Wanke, M.C., Allen, S.J., Wilkins, J.W., Appl. Phys. Lett. 74, 2164 (1999).

“Resonant magnetic field induced enhancement of the tunneling current in multi-quantum wells”, Vieira, G.S., Guimaraes, P.S.S., Alves, E.S., Allen, S.J., Campman, K.L., Gossard, A.C. . Physica B, 256-258, 527 (1998).

“Resonantly enhanced photon-assisted tunneling in a multiple-quantum-well superlattice”, Vieira, G.S., Allen, S.J., Guimaraes, P.S.S., Campman, K.L., Gossard, A.C., . Phys. Rev. B58, 7136 (1998).

“Sequential tunneling in doped superlattices: fingerprints of impurity bands and photon-assisted tunneling”, Wacker, A., Jauho, A.-P., Zeuner, S., Allen, S.J., Phys. Rev. B56, 13268 (1997).

Papers published in conference proceedings.

“Terahertz harmonic generation from Bloch-oscillating superlattices in quasi-optical arrays”, Wanke, M.C., Scott, J.S., Allen, S.J., Maranowski, K.D., Gossard, A.C. Proceedings of the SPIE, 3617, 148 (1999).

“Open confocal resonators with quasi-optical arrays to measure THz dynamics of quantum tunneling devices”, Scott, J.S., Wanke, M.C., Allen, S.J., Maranowski, K.D., Gossard, A.C., Chow, D.H., Proceedings of the SPIE, 3617, 176 (1999).

“Terahertz, photon-assisted transport in semiconductor quantum structures”, Allen, S.J. . Nuclear Instruments & Methods in Physics Research, Section B 144, 130 (1998).

“Possible THz gain in superlattices at a stable operation point”, Wacker, A., Allen, S.J., Scott, J.S., Wanke, M.C., Jauho, A.-P., Physica Status Solidi B204, 95 (1997).

Manuscripts in press.

“Terahertz Dynamics in Quantum Structures: Towards a Fundamental Terahertz Oscillator”, S.J. Allen, J. S. Scott, M.C. Wanke, K. Marowski, A.C. Gossard, M.J.W. Rodwell, D.H. Chow, to be published Proceedings of the NATO Advanced Research Workshop, Terahertz Sources and Systems.

Scientific personnel

S. James Allen,	principal investigator	
Michael Wanke,	graduate student researcher	Ph.D.
Jeff Scott	graduate student researcher	Ph.D. to be awarded June 2001
Gustavo Vieira	visiting researcher, Brazil	

Andreas Wacker	visiting theoretical physicist, Germany	
Avik Ghosh	visiting theoretical graduate student researcher, advised by John Wilkins, OSU	PhD- OSU
K. Maranowski	graduate student researcher, Materials, UCSB	PhD.
A.C. Gossard	advisor to K. Maronowski	
D.Chow	scientist from HRL Laboratories who supplied superlattice materials.	

Inventions. None filed.